

United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
10/719,073	11/21/2003	Ronald Chang	20048-002711	2252	
20350 TOWNSEND	7590 01/24/2008 AND TOWNSEND AN	EXAMINER			
TOWNSEND AND TOWNSEND AND CREW, LLP TWO EMBARCADERO CENTER			GORDON, BRIAN R		
EIGHTH FLOO SAN FRANCI	OR SCO, CA 94111-3834		ART UNIT	PAPER NUMBER	
51.1.1.1.1.1.1.1.1.1.1.1.1.1.1.1.1.1.1.		1797			
			MAIL DATE	DELIVERY MODE	
			01/24/2008	PAPER	

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

		Applicatio	n No.	Applicant(s)		
		10/719,07	3	CHANG ET AL.		
Office Action Summary		Examiner		Art Unit		
		Brian R. G	ordon	1797		
	ne MAILING DATE of this communic	cation appears on the	cover sheet with the c	orrespondence address		
Period for Re	• •					
WHICHE - Extensions after SIX (6 - If NO perio - Failure to r Any reply r	TENED STATUTORY PERIOD FOVER IS LONGER, FROM THE MASS of time may be available under the provisions of MONTHS from the mailing date of this community of the maximum state of the second for reply is specified above, the maximum state of the second for reply veceived by the Office later than three months aftent term adjustment. See 37 CFR 1.704(b).	AILING DATE OF TH of 37 CFR 1.136(a). In no eve unication. utory period will apply and will vill, by statute, cause the appli	IS COMMUNICATION nt, however, may a reply be timed texpire SIX (6) MONTHS from the cation to become ABANDONEI	I. lely filed the mailing date of this communication. D (35 U.S.C. § 133).		
Status			•	i		
1)⊠ Res	sponsive to communication(s) filed	d on 11-21-03.				
<i>,</i> —	This action is FINAL . 2b)⊠ This action is non-final.					
3)☐ Sin	☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is					
clos	sed in accordance with the practic	e under <i>Ex parte Qu</i>	<i>ayle</i> , 1935 C.D. 11, 45	33 O.G. 213.		
Disposition (of Claims					
4)⊠ Cla	im(s) 1-41 is/are pending in the a	oplication.				
• —	Of the above claim(s) is/ar		sideration.			
-	im(s) is/are allowed.					
6)⊠ Cla	im(s) <u>1-8,10,11,13-16,18,28-36 a</u>	nd 39 is/are rejected.				
•	im(s) <u>9, 12, 17, 19-27, 37-38, 40-</u>					
8)∏ Cla	im(s) are subject to restrict	tion and/or election re	quirement.			
Application	Papers					
9)⊡⁻The	specification is objected to by the	Examiner.	•			
10) <u></u> The	drawing(s) filed on is/are:	a) accepted or b)[\square objected to by the F	Examiner.		
• • •	olicant may not request that any object	•				
	placement drawing sheet(s) including					
11)∐ The	oath or declaration is objected to	by the Examiner. No	te the attached Office	Action or form P10-152.		
Priority unde	er 35 U.S.C. § 119					
12) <u></u> Ack	nowledgment is made of a claim f	or foreign priority und	ler 35 U.S.C. § 119(a)	-(d) or (f).		
a) ☐ All b) ☐ Some * c) ☐ None of:						
	1. Certified copies of the priority documents have been received.					
	 2. Certified copies of the priority documents have been received in Application No. 3. Copies of the certified copies of the priority documents have been received in this National Stage 					
3	•			ed in this National Stage		
* \$00	application from the Internation the attached detailed Office action	•		ad .		
See	ure attached detailed Office action	Tion a list of the certifi	ica copies not receive			
Attachment(s)						
	References Cited (PTO-892)	TO 040)	4) Interview Summary Paper No(s)/Mail Da			
	Draftsperson's Patent Drawing Review (Pinn Disclosure Statement(s) (PTO/SB/08)	I U-948)	5) Notice of Informal P			
Paper No(s)/Mail Date 6) Other:						

Application/Control Number: 10/719,073 Page 2

Art Unit: 1797

DETAILED ACTION

Claim Interpretations

Claim 28 as presently drafted only requires 1) a thermal surface; 2) an automated pressurizing device and 3) a heating or cooling element. The remaining portions of the claim are directed to the intended use of the device. For example, the preamble states the device is for controlling the temperature of a reaction device. The recitation directed to the intended use with the reaction vessel has not been given patentable weight because it has been held that a preamble is denied the effect of a limitation where the claim is drawn to a structure and the portion of the claim following the preamble is a self-contained description of the structure not depending for completeness upon the introductory clause. It should be noted that the reaction vessel and any limitations directed thereto is not further limiting of the temperature control device defined by the elements of the device. It has been held that a recitation with respect to the manner in which a claimed apparatus is intended to be employed does not differentiate the claimed apparatus from a prior art apparatus satisfying the claimed structural limitations.

Claim 32 is not further limiting of the structure. The vessel is not positively claimed as an element of the temperature control device. Any other claim limitations directed to such vessel (see claims 34, 37) are not further limiting of the temperature control device.

Claim Rejections - 35 USC § 112

1. The following is a quotation of the second paragraph of 35 U.S.C. 112:

Art Unit: 1797

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

2. Claims 36-38 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

The claims have been amended to include "means for dispensing fluid"; "means for inserting" and "means for injection" it is unclear what specific element(s) applicant considers to define such means.

Claim 38 is directed to further defining the needle, however it should be noted that the needle was not positively claimed as an element of the apparatus. A means for inserting a needle does necessarily include the needle. In view of such claim 38 is not further structurally limiting of the device.

Claim Rejections - 35 USC § 102

3. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

(e) the invention was described in a patent granted on an application for patent by another filed in the United States before the invention thereof by the applicant for patent, or on an international application by another who has fulfilled the requirements of paragraphs (1), (2), and (4) of section 371(c) of this title before the invention thereof by the applicant for patent.

The changes made to 35 U.S.C. 102(e) by the American Inventors Protection Act of 1999 (AIPA) and the Intellectual Property and High Technology Technical Amendments Act of 2002 do not apply when the reference is a U.S. patent resulting directly or indirectly from an international application filed before November 29, 2000.

Art Unit: 1797

Therefore, the prior art date of the reference is determined under 35 U.S.C. 102(e) prior to the amendment by the AIPA (pre-AIPA 35 U.S.C. 102(e)).

4. Claims 28, 32-34, and 36 are rejected under 35 U.S.C. 102(e) as being anticipated by Tajima US 6,509,193.

Taiima discloses a pipetting machine that includes a mechanical distribution unit (machine head/means for inserting), an automatic input control unit 147 which corresponds to an item specification means for specifying items that can undergo a series of processing using the same container cartridge and which controls automatic input of sample item information as by optical mark reader (OMR), floppy disk, CDROM and communication; an input/output unit 142 having a keyboard and mouse for entering associated information and a printer for producing recorded outputs; a pipette device control unit 143 for controlling the pipette device (automated machine, means for dispensing/injecting); a rotary stage control unit 144 for controlling the rotary stage 131; a constant temperature control unit 145 for controlling at a constant temperature a thermostatic oven or heater (thermal element) provided to the fixing plate 154 of the rotary state 131 (thermal surface); and a PMT control unit 146 for controlling the PMT. In FIG. 22, reference number 172 represents an XYZ stage control unit for controlling the movement of the distribution unit; reference number 173 denotes a pipette control unit for controlling the action of the pipette.

Double Patenting

5. The nonstatutory double patenting rejection is based on a judicially created doctrine grounded in public policy (a policy reflected in the statute) so as to prevent the unjustified or improper timewise extension of the "right to exclude" granted by a patent and to prevent possible harassment by multiple assignees. A nonstatutory

Application/Control Number: 10/719,073

Art Unit: 1797

obviousness-type double patenting rejection is appropriate where the conflicting claims are not identical, but at least one examined application claim is not patentably distinct from the reference claim(s) because the examined application claim is either anticipated by, or would have been obvious over, the reference claim(s). See, e.g., *In re Berg*, 140 F.3d 1428, 46 USPQ2d 1226 (Fed. Cir. 1998); *In re Goodman*, 11 F.3d 1046, 29 USPQ2d 2010 (Fed. Cir. 1993); *In re Longi*, 759 F.2d 887, 225 USPQ 645 (Fed. Cir. 1985); *In re Van Ornum*, 686 F.2d 937, 214 USPQ 761 (CCPA 1982); *In re Vogel*, 422 F.2d 438, 164 USPQ 619 (CCPA 1970); and *In re Thorington*, 418 F.2d 528, 163 USPQ 644 (CCPA 1969).

A timely filed terminal disclaimer in compliance with 37 CFR 1.321(c) or 1.321(d) may be used to overcome an actual or provisional rejection based on a nonstatutory double patenting ground provided the conflicting application or patent either is shown to be commonly owned with this application, or claims an invention made as a result of activities undertaken within the scope of a joint research agreement.

Effective January 1, 1994, a registered attorney or agent of record may sign a terminal disclaimer. A terminal disclaimer signed by the assignee must fully comply with 37 CFR 3.73(b).

6. Claims 1-8, 10-11, 13-16, 18, 28-35, and 39 are rejected on the ground of nonstatutory obviousness-type double patenting as being unpatentable over claims 1-5, 14-15, 19-21, 23-24 and 44 of U.S. Patent No. 6,403,037. Although the conflicting claims are not identical, they are not patentably distinct from each other because the scope of the Patented claims fully encompasses that of the claims of the instant application. The means for increasing of claim 1 of the Patent is equivalent to the automated machine of the application. The claims correspond as follows:

Applicat1on	Patent
1	1 or 44
2	2
3	3
4-5	4
6-8	9

Application/Control Number: 10/719,073

Art Unit: 1797

10 ·	15
11	14
13	19
14	20
15	21
16	23
18	24
28	1 or 44
29	4
30-31	5
32	15
	
33	19
33	20
34	20

Conclusion

7. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure. Freitag; J. Christopher et al.; Wilmes; Hugo et al.; Bevirt; JoeBen et al.; Kath; Gary S. et al.; Kath; Gary S. et al.; and Cathcart; G. Richard et al. disclose automated dispensing devices.

Art Unit: 1797

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Brian R. Gordon whose telephone number is 571-272-1258. The examiner can normally be reached on M-F, 1st Fri. Off.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Jill Warden can be reached on 571-272-1267. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

Brian R Gordon Primary Examiner Art Unit 1797

brg